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4-27-04 Patent

Attorney's Docket No. 01-4001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)

Walter Clark MILLIKEN et al.)

Application No.: 10/044,073)

Filed: January 11, 2002)

For: SYSTEMS AND METHODS FOR)
POINT OF INGRESS TRACEBACK)
OF A NETWORK ATTACK)

Group Art Unit: Unassigned

Examiner: Unassigned

INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER

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Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of the IDS is required.
- ☐ The fee of \$180.00 as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ Charge \$ _____ to Deposit Account No. 50-1070 for the fee due.
- ☐ A check in the amount of \$ _____ is enclosed for the fee due.



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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

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Arlington, Virginia 22202

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant(s) bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action in the above-referenced application. As such, no certification or fee is required. Copies of the listed documents are attached.

Applicant(s) respectfully request(s) that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

If any copending application(s) is/are cited on the attached PTO 1449, the Examiner's attention is directed to the foregoing application(s) in compliance with § 2001.06(b) of the Manual of Patent Examining Procedure. By identifying the copending application(s), the assignee and/or applicant of the application(s) do not waive confidentiality of the application(s). Accordingly, the U.S. Patent and Trademark Office is requested to maintain the confidentiality of the copending application(s) under 35 U.S.C. § 122.

This submission does not represent that a search has been made and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant(s) determine(s) that the cited document(s) do not constitute "prior art" under United States law, Applicant(s) reserve(s) the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve(s) the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-1070.

Respectfully submitted,

HARRITY & SNYDER, L.L.P.



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CUSTOMER NUMBER: 26615

Date: April 21, 2004

**INFORMATION
DISCLOSURE
CITATION**
PTO-1449**CUSTOMER
NUMBER**

26615

ATTORNEY'S DKT No.
01-4001APPLICATION No.
10/044,073APPLICANT(S)
Walter Clark MILLIKEN et al.FILING DATE
January 11, 2002GROUP
Unassigned**U.S. PATENT DOCUMENTS**

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE

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FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Todd Heberlein; "Worm Detection and Prevention: Concept, Approach, and Experience"; Net Squared, Inc.; <http://www.attackcenter.com/Information/WhitePapers/WormDetect/>; August 14, 2002; pp. 1-7.

S. Staniford-Chen, and L. Todd Herberlein; "Holding Intruders Accountable on the Internet"; Proceedings of the 1995 IEEE Symposium on Security and Privacy; Oakland, CA, pp. 39-49, 8-10 May 1995.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).